DOCKET NO: I0168-7082.19

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anil D. Jha, et al.

Serial No:

10/712,166

Confirmation No:

8211

Filed:

November 13, 2003

For:

WATER TREATMENT SYSTEM AND METHOD

Examiner:

Not yet assigned

Art Unit:

1742

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the day of November, 2005.

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following documents:

Information Disclosure Statement, Form PTO-1449 and Cited Reference [X]

Return Receipt Postcard [X]

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 395-7000.

A check is not enclosed. If a fee is required, the Commissioner is hereby authorized to charge Deposit Account No. 50/0214. A duplicate of this sheet is enclosed.

Respectfully submitted,

Anil D. Sha, et al., Applicants

Peter C. Land Reg. No. 34,654 Elias Domingo, Reg. No. 52,827

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, MA 02142

Date: November <u>3</u>, 2005 (617) 395-7000



DOCKET NO: 10168-7082.19

YED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Anil D. Jha et al.

Serial No:

10/712,166

Confirmation No:

8211

Filed:

November 13, 2003

For:

WATER TREATMENT SYSTEM AND METHOD

Examiner:

Phasge, Arun S.

Art Unit:

1742

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the 3 day of November, 2005.

Sylvana Householder

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 CFR §§1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants request consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. §1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action on the merits in the above-identified case.

No fee or certification is required.

PART II: Information Cited

Applicants hereby make of record in the above-identified application the information listed on the attached form PTO-1449 (modified). The order of presentation of the references should not be construed as an indication of the importance of the references.

<u>Part III: Explanation of Non-English Language References and Remarks Concerning Other</u> Information <u>Cited</u>

The following is a concise explanation of the relevance of each non-English language reference listed on the attached form PTO-1449 (modified):

JP 11-42483 appears to be directed to a mineral water regulator. An English Abstract is enclosed.

PART IV: Remarks

Documents cited anywhere in the Information Disclosure Statement are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO-1449 be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, Applicants make no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

By submitting this Information Disclosure Statement, Applicants make no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

Notwithstanding any statements by Applicants, the Examiner is urged to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

Anil D. Ma et qt., Applicants

By:

Peter C. Lando, Reg. No. 34,654

Elias Domingo, Reg. No. 52,827

LOWRIE, LANDO & ANASTASI, LLP

Riverfront Office Park

One Main Street

Cambridge, Massachusetts 02142

Tel. (617) 395-7000

Docket No. I0168-7082.19 Date: November <u>3</u>, 2005 OIPE NOV 0 7 2005 8

| • | | (, | NOV 0 7 200 | | | | | | |
|------------------------|-------------|-------------------------|--|--------------|---|-------------------|--|---------|--------------|
| FORM PTO-1 | 449/A a | nd B (Modifi | A STATE OF THE STA | APPLIC | APPLICATION NO.: 10/712,166 ATTY. DO FILING DATE: November 13, 2003 CONFIRM | | CKET NO.: I0168-7082.19 | | |
| INFORMATION DISCLOSURE | | | | | FILING DATE: November 13, 2003 CONFIRMA | | ATION NO.: 8211 | | |
| STATE | MEN' | T BY AP | PLICANT | APPLIC | ANT: Anil D. Jha et al. | • | | | |
| ·Sheet 1 Of 1 | | | | GROUP | GROUP ART UNIT: 1742 EXAMIN | | ER: Not Yet Assigned | | |
| Silect | • | | | | | <u> </u> | | | |
| | | | | | PATENT DOCUMENTS | D. C. C. L. L. C. | | | |
| Examiner's Initials | Cite No. | U.S. Patent Docume | | | Name of Patentee or Applica | int of Cited | ed Date of Publication or of issue of Cited Document | | sue |
| | | Number | | Kind Code | Document MM-DD-YYY | | YYYY | | |
| | | | | | | | | | |
| • | | | 44. | | | | | | |
| | ļ | | | <u> </u> | | | | | |
| • | | | | | | | | | |
| | | | | ļ | | | | | |
| | | | | 1 | | | | | |
| | | | | | | | <u> </u> | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | ļ | | | | | |
| | l | | | <u> </u> | | | | | |
| | | | | FOREI | GN PATENT DOCUMENTS | | | | |
| Examiner's Initials | Cite No. | Foreign Patent Document | | | Name of Patentee or Applicant of Cited | | Date of Publication of | Transle | ranslation |
| | | Office/ Country | Number | Kind Code | Document (not necessary) | | Cited Document MM-DD-YYYY | (Y/N) | |
| | | JP | 11-42483 | | Sharp Corp. | | 02-16-1999 | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | OTHER A | ART — NON | N PATENT LITERATURE DOC | UMENTS | | | |
| Examiner's | Cite | Include | name of the author | or (in CAPIT | (in CAPITAL LETTERS) title of the article (when appropriate), title of the item | | | | tion |
| Initials | No | (book, i | book, magazine, journal, serial, symposium, catalog, etc.), date, relevant page(s), volume-issue number(s), publisher, city and/or country where published. | | | | | (Y/ì | N) |
| | | | | | | | | | |
| | | | | | | | | | |
| | ļ | | | | | | table of the second | | |
| | <u> </u> | <u> </u> | | | | | | | |
| EXAMINER | <u> </u> | - | | | DATE CONSIDERE | ED | | | |

#EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.